LEN , MAN SOLATOR

1714 -

1760

•

Attorney's Docket No. 005950-763

### UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )		RECEIVED
Dahl, et al.	Group Art Unit: Unassigned	
Application No.: 10/017,821 )		MAR 2 7 2002
Filed: December 12, 2001	Examiner: Unassigned	TC 1700
For: PROCESSES FOR THE PURIFICATION ) OF HIGHER DIAMONDOIDS AND ) COMPOSITIONS COMPRISING SUCH ) DIAMONDOIDS )		

# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

	[x]	No additional fee for submission of an IDS is required.
•	[]	The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
	[]	A certification under 37 C.F.R. § 1.97(e) is also enclosed.
	[]	A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
	[]	Charge \$ to Deposit Account No. 02-4800 for the fee due.
	[]	A check in the amount of \$ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

By:

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404 Alexandria, Virginia 22313-1404 (650) 622-2300

Date:

2/12/02

William H. Benz

Registration No. 25,952





Patent Attorney's Docket No. 005950-763

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AND TRADEMARK OFFICE
7700
) Group Art Unit: Unassigned
) Examiner: Unassigned
) ) ) )

#### **INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98(d), copies of the references cited below are included herewith:

#### U.S. Patents

Patent Number	Name	Issue Date
3,457,318	Capaldi	7/22/69
3,832,332	Thompson	8/27/74
4,952,757	Alexander	8/28/90
4,952,748	Alexander	8/28/90

Patent Number	Name	Issue Date
4,952,749	Alexander	8/28/90
4,982,049	Alexander	1/1/91
5,017,734	Baum	5/21/91
5,019,665	Partridge	5/28/91
5,245,104	Cullick	9/14/93
5,268,513	Shen	12/7/93
5,298,666	Shen	3/29/94
5,306,851	Wu	4/26/94
5,347,063	Shen	9/13/94
5,369,213	Shen	11/29/94
5,380,947	Chen	1/10/95
5,382,684	Moini	1/17/95
5,397,488	Chen	3/14/95
5,410,092	Shen	4/25/95
5,414,189	Chen	5/9/95
5,430,193	Shen	7/4/95
5,461,184	Swanson	10/24/95
5,498,812	Bradway	3/12/96
5,576,355	Chen	11/19/96
6,235,851	Ishii	5/22/01

## **Foreign Patents**

WO 95/11472 4/27/95 EP 0399 851 11/20/96

### **Articles**

Aczel, et al., "Stability of Adamantane and its Derivatives to Coal-liquefaction Conditions, and its implications toward the organic structure of Coal", Fuel, Vol. 58, pp. 228-230, (3/1979)

Balaban, et al., Systemic Classification and Nomenclature of Diamond Hydrocarbons-I, *Tetrahedron*, 34, pp. 3599-3606, (1978)

Badziag, P., et al., "Nanometre-sized Diamonds are More Stable than Graphite", *Nature*, Vol. 343, pp. 244-245, and 517

Bagrii, Ye, et al., "Catalytic Breakdown of Paraffinic Hydrocarbons in the Presence of Adamantanes", *Petrol. Chem USSR*, Vol. 30, No. 2, pp. 131-134, (1990)

Chung, et al., Recent Development in High-Energy Density Liquid Fuels, *Energy and Fuels*, 13, pp. 641-649, (1999)

Dahl, J., et al., Diamondoid Hydrocarbons as Indicators of Natural Oil Cracking, *Nature*, 399, pp. 54-57, (1999)

Drexler, Eric K., Nanosystems: Molecular Machinery Manufacturing and Computation, John Wiley & Sons, pp.238-249, (1992)

Fort, Jr., et al., Adamantane: Consequences of the Diamondoid Structure, *Chem. Rev.*, <u>64</u>, pp. 277-300, (19640

Hala, V.S., et al., "Analyse Unds erwendung on Pyrolyseol", *Jahrgang*, pp. 85-87, (2/1971) In German- English Abstract on page 85.

Landa, S., "Adamantane and Its Homologues", *Current Science*, Gangalore, India, Vo. 32, pp. 485-489 (1963)

Lin, et al., Natrual Occurrence of Tetramantane ( $C_{22}H_{36}$ ), Pentamantane ( $C_{26}H_{32}$ ), and Hexamantane ( $C_{30}H_{36}$ ) in a Deep Petroleum Reservoir, Fuel, 74:10, pp. 1512-1521, (1995)

McKervey, Synthetic Approaches to Large Diamondoid Hydrocarbonds, *Tetrahedron*, <u>36</u>, pp. 971-992, (1980)

Machacek, V., et al., "Let Od Objeveni Adamantanu", *Chemicke Listy/svazek*, pp. 753-761, (1982) Russian - English Abstract on p. 761.

Oya, A, et al., "Carbonization of Adamantanes to a Graphitizable Carbon", Fuel, Vol. 60, pp. 667-669, (8/1981).

Petrov, A., "Hydrocarbons of Adamantane Series as Indicies of Petroleum Catagenesis Process", *Advances in Organic Geo Chemistry*, 6<sup>th</sup> International Meeting on Organic Geochemistry, pp. 517-522 (1973).

Information Disclosure Statement Application Serial No. 10/017,821 Attorney's Docket No. 005950-763 Page 4

Prusova, D., Liquid Chromatography of Adamantanes and Carbon Adsorbents", J. Chrom, 234, pp. 1-11, (1982).

Rollman, L., et al., "Adamantanes From Petroleum, with Zeolites", American Chemical Study, 210th ACS National Meeting, Abstract and paper, 8/20/95).

Sandia National Laboratories (2000), World's First Diamond Micromachines Created at Sandia, Press Release, (2/22/2000), www.Sandia.gov

Schleyer, P., et al., "Nonacyclo[11.7.1.1<sup>2,18</sup>.0<sup>3,16</sup>.0<sup>4,13</sup>.0<sup>5,10</sup>.0<sup>6,14</sup>.0<sup>7,11</sup>.0<sup>15,20</sup>]-Docosane, a Bastard Tetramantane", *J. of the Am. Chem. Soc.*, 90:8, letter to the editor, 8/28/68.

Shen, M., et al., "Finite  $T_d$  Symmetry Models for Diamond: From Adamantane to Superadamantane ( $C_{35}H_{36}$ ), J. Am., Chem. Soc., Vol. 114, No. 2, pp 497-505, (1992).

Supryadkina, NY, et al., "Catalytic Dealkylation of Alkyladamantanes", *Petrol. Chem., USSR*, Vol. 28, No. 2, pp. 103-110, (1988)

Tominaga, K., et al., "Next-generation Fine Chemicals Raw Material-Adamantane", *Chem Econ & Eng. Review*, Vol. 17, No. 10, pp. 23-29, (10/1985).

Vodicka, L, et al., "High Performance Liquid Chromatography of Halogeno Derivatives of Adamantane and Diamantane", J. Chrom, 270, pp. 199-205, (1983).

Wingert, W., "G.c.-m.s. Analysis of Diamondoid Hydrocarbons in Smackover Petroleums", Fuel, Vol. 71, pp. 37-42, (1/1992)

The documents are being submitted within three months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. §1.97(b).

While this Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such.

Information Disclosure Statement Application Serial No. 10/017,821 Attorney's Docket No. 005950-763 Page 5

Applicants specifically reserve the right, where appropriate, to antedate any such reference by the appropriate showing under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

This Information Disclosure Statement is not a representation that a search has been made or that no other information material to the patentability of this invention exists. To assist the Examiner, the document are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Bv:

William H. Benz

Registration No. 25,952

P.O. Box 1404

Alexandria, Virginia 22313-1404

Phone: (650) 622-2300

Date:

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.

O05950-763

APPLICATION NO.

10/017,821

APPLICANT
Dahl, et al.

FILING DATE
Herewith

GROUP
Unassigned

•			U.S. PATENT DOCUMENTS	
	U.S. Patent De	ocument		0
Examiner		Kind Code	Name of Patentee or Applicant	Date of Publication
Initials	Number 2.4.5	(if known)	of Cited Document	(MM-DD-YYYY) 7/22/69
SCM1	3,457,318		Capaldi	
7	3,832,332		Thompson	8/27/74
N 33	4,952,757		Alexander	8/29/90
<u>\$</u> /	4,952,748		Alexander	8/28/90
, RET	4,952,749		Alexander	8/28/90
	4,982,049	·	Alexander	1/1/91
	5,017,734		Baum	5/21/91
	5,019,665		Partridge	5/28/91
·	5,245,104		Cullick	9/14/93
	5,268,513	·	Shen	12/7/93
	5,298,666		Shen	3/29/94
	5,306,851		Wu	4/26/94
	5,347,063		Shen	9/13/94
	5,369,213		Shen	11/29/94
	5,380,947		Chen	1/10/95
	5,382,684		Moini	1/17/95
	5,397,488		Chen	3/14/95
	5,410,092		Shen	4/25/95
	5,414,189	-	Chen	5/9/95
	5,430,193		Shen	7/4/95
	5,461,184		Swanson	10/24/95
	5,498,812		Bradway	3/12/96
	5,576,355		Chen	11/19/96
	6,235,851		Ishii	5/22/01
		F(	OREIGN PATENT DOCUMENTS	
	Foreign Patent	Document		
Examiner Initials	Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)
	WO 95/11472		PCT	4/27/95
_	EP 0399851		Europe	11/20/96
	•	NON I	PATENT LITERATURE DOCUMENTS	
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.

005950-763

APPLICATION NO.

10/017,821

APPLICANT

Dahl, et al.

FILING DATE

Herewith

GROUP

Unassigned

	Balaban, et al., Systemic Classification and Nomenclature of Diamond Hydrocarbons-I, <i>Tetrahedron</i> , 34, pp. 3599-3606, (1978)
	Badziag, P., et al., "Nanometre-sized Diamonds are More Stable than Graphite", <i>Nature</i> , Vol. 343, pp. 244-245, and 517
PEVCIL	Bagrii, Ye, et al., "Catalytic Breakdown of Paraffinic Hydrocarbons in the Presence of Adamantanes", <i>Petrol. Chem USSR</i> , Vol. 30, No. 2, pp. 131-134, (1990)
1 70th 2	Chung, et al., Recent Development in High-Energy Density Liquid Fuels, <i>Energy and Fuels</i> , 13, pp. 641-649, (1999)
& TRADEMART	Dahl, J., et al., Diamondoid Hydrocarbons as Indicators of Natural Oil Cracking, <i>Nature</i> , 399, pp 54-57, (1999)
	Drexler, Eric K., Nanosystems: Molecular Machinery Manufacturing and Computation, John Wiley & Sons, pp.238-249, (1992)
	Fort, Jr., et al., Adamantane: Consequences of the Diamondoid Structure, <i>Chem. Rev.</i> , <u>64</u> , pp. 277-300, (1964)
	Hala, V.S., et al., "Analyse Unds erwendung on Pyrolyseol", <i>Jahrgang</i> , pp. 85-87, (2/1971) In German- English Abstract on page 85.
	Landa, S., "Adamantane and Its Homologues", <i>Current Science</i> , Gangalore, India, Vo. 32, pp. 485-489 (1963)
	Lin, et al., Natrual Occurrence of Tetramantane ( $C_{22}H_{36}$ ), Pentamantane ( $C_{26}H_{32}$ ), and Hexamantane ( $C_{30}H_{36}$ ) in a Deep Petroleum Reservoir, <i>Fuel</i> , 74:10, pp. 1512-1521, (1995)
	McKervey, Synthetic Approaches to Large Diamondoid Hydrocarbonds, <i>Tetrahedron</i> , 36, pp. 971-992, (1980)
	Machacek, V., et al., "Let Od Objeveni Adamantanu", Chemicke Listy/svazek, pp. 753-761, (1982) Russian - English Abstract on p. 761.
	Oya, A, et al., "Carbonization of Adamantanes to a Graphitizable Carbon", Fuel, Vol. 60, pp. 667-669, (8/1981).
	Petrov, A., "Hydrocarbons of Adamantane Series as Indicies of Petroleum Catagenesis Process", <i>Advances in Organic Geo Chemistry</i> , 6 <sup>th</sup> International Meeting on Organic Geochemistry, pp. 517 522 (1973).
	Prusova, D., Liquid Chromatography of Adamantanes and Carbon Adsorbents", J. Chrom, 234, pp. 1-11, (1982).
	Rollman, L., et al., "Adamantanes From Petroleum, with Zeolites", American Chemical Study, 210th ACS National Meeting, Abstract and paper, 8/20/95).
	Sandia National Laboratories (2000), World's First Diamond Micromachines Created at Sandia, Press Release, (2/22/2000), www.Sandia.gov
	Schleyer, P., et al., "Nonacyclo[11.7.1.1 <sup>2,18</sup> .0 <sup>3,16</sup> .0 <sup>4,13</sup> .0 <sup>5,10</sup> .0 <sup>6,14</sup> .0 <sup>7,11</sup> .0 <sup>15,20</sup> ]-Docosane, a Bastard Tetramantane", <i>J. of the Am. Chem. Soc.</i> , 90:8, letter to the editor, 8/28/68.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.

005950-763

APPLICATION NO.
10/017,821

APPLICANT
Dahl, et al.

FILING DATE

GROUP

1	Tielewith		
	2		
	Shen, M., et al., "Finite $T_d$ Symmetry Models for Diamond: From Adamantane to Superadamantane ( $C_{35}H_{36}$ ), J. Am., Chem. Soc., Vol. 114, No. 2, pp 497-505, (1992).		
PE JCIA	Supryadkina, NY, et al., "Catalytic Dealkylation of Alkyladamantanes", <i>Petrol. Chem., USSR</i> , Vol. 28, No. 2, pp. 103-110, (1988)		
18 1 100 3	Tominaga, K., et al., "Next-generation Fine Chemicals Raw Material-Adamantane", <i>Chem Econ &amp; Eng. Review</i> , Vol. 17, No. 10, pp. 23-29, (10/1985).		
TAYT & TRAUENT	Vodicka, L, et al., "High Performance Liquid Chromatography of Halogeno Derivatives of Adamantane and Diamantane", <i>J. Chrom</i> , 270, pp. 199-205, (1983).		
	Wingert, W., "G.cm.s. Analysis of Diamondoid Hydrocarbons in Smackover Petroleums", Fuel, Vol. 71, pp. 37-42, (1/1992)		
Examiner Signature	Date Considered		